

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

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	:
<b>In re</b>	: <b>Chapter 11 Case No.</b>
	:
<b>LEHMAN BROTHERS HOLDINGS INC., et al.,</b>	: <b>08-13555 (JMP)</b>
	: <b>(Jointly Administered)</b>
<b>Debtors.</b>	:
	:
-----x	
	<b>Ref. Docket Nos. 28959, 29103,</b>
	<b>29178, 29203, 29204, 29243, 29245,</b>
	<b>29246, 29248, 29249, 29251-29253,</b>
	<b>29261, 29270, 29274</b>

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On July 10, 2012, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
17<sup>th</sup> day of July, 2012

/s/ Cassandra Murray  
Notary Public, State of New York  
No. 01MU6220179  
Qualified in Queens County  
Commission Expires April 12, 2014

**EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: CITIGROUP FINANCIAL PRODUCTS, INC.  
TRANSFEROR: DZ BANK AG DEUTSCHE ZENTRAL-GENOSSENSCHAFTSBANK  
ATTN: ROHIT BANSAL  
390 GREENWICH STREET, 4TH FLOOR  
NEW YORK NY 10013

CITIGROUP FINANCIAL PRODUCTS, INC.  
PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP  
ATTN: DOUGLAS R. DAVIS  
1285 AVENUE OF THE AMERICAS  
NEW YORK NY 10019

Please note that your claim # 17423 in the above referenced case and in the amount of  
\$111,150,054.02 allowed at \$76,000,000.00 has been transferred (**unless previously expunged by court order**)

EMPYREAN INVESTMENTS, LLC  
TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS, INC.  
STERLING HATHAWAY  
EMPYREAN CAPITAL PARTNERS, LP  
10250 CONSTELLATION BLVD., STE 2950  
LOS ANGELES CA 90067

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 29270 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEREE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 07/10/2012

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on July 10, 2012.

**EXHIBIT B**

TIME: 12:45:57  
DATE: 07/10/12

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

Name	Address
B-PRIVATSTIFTUNG	KARL GEERCKEN ALSTON & BIRD LLP 90 PARK AVENUE NEW YORK NY 10016
B-PRIVATSTIFTUNG	FUHRICHGASSE 6 WIEN 1010 AUSTRIA
BANCA DEL PIEMONTE CON UNICO SOCIO	(ON BEHALF OF ITS CLIENTS) FAO: ILARIA SICA VIA CERNATA, 7 TORINO 10121 ITALY
S.P.A.	
BANCA DI CREDITO COOPERATIVO DI ALBA,	ATTN: MR. MARIO MUSSO CORSO ITALIA, 4 ALBA (CUNEO) 12051 ITALY
LANGHE E ROERO S.C.	
BANCA FIDEURAM S.P.A.	TRANSFEROR: SANTANDER PRIVATE BANKING SPA, AS AGENT ATTN: EMANUELE CASTRO LEGAL DEPARTMENT P.LE G. DOUHET 31 ROMA 00143 ITALY
BANCA ZARATTINI & CO SA	TRANSFEROR: CREDITO PRIVATO COMMERCIALE SA ATTN: COMPLIANCE AND BACK OFFICE DEPT. VIA PRETORIO 1 LUGANO 6904 SWITZERLAND
BANCO DI CREDITO P. AZZOGGLIO S.P.A.	TRANSFEROR: BANCA DEL PIEMONTE CON UNICO SOCIO S.P.A. 17 VIA A. DORIA CEVA (CN) 12073 ITALY
CAPITAL PARTNERS SECURITIES CO., LTD	TRANSFEROR: LEHMAN BROTHERS JAPAN INC ATTN: FUMIO KOJIMA ASAHI BUILDING, 6TH FLOOR 3-12-2 NIHONBASHI, CHUO-KU TOKYO 103-0027 JAPAN
CASSA LOMBARDA S.P.A.	VIA A MANZONI 12/16 MILANO 20121 ITALY
CITIGROUP FINANCIAL PRODUCTS, INC.	PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP ATTN: DOUGLAS R. DAVIS 1285 AVENUE OF THE AMERICAS NEW YORK NY 10019
CITIGROUP FINANCIAL PRODUCTS, INC.	TRANSFEROR: DZ BANK AG DEUTSCHE ZENTRAL-GENOSSENSCHAFTSBANK ATTN: ROHIT BANSAL 390 GREENWICH STREET, 4TH FLOOR NEW YORK NY 10013
CREDITO PRIVATO COMMERCIALE SA	VIA ZURIGO 46 LUGANO 6901 SWITZERLAND
ELLIOTT ASSOCIATES, L.P.	TRANSFEROR: YORVIK PARTNERS LLP C/O ELLIOTT MANAGEMENT CORPORATION ATTN: MICHAEL STEPHAN 40 WEST 57TH STREET NEW YORK NY 10019
ELLIOTT INTERNATIONAL, L.P.	TRANSFEROR: YORVIK PARTNERS LLP C/O ELLIOTT MANAGEMENT CORPORATION ATTN: MICHAEL STEPHAN 40 WEST 57TH STREET NEW YORK NY 10019
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS, INC. STERLING HATHAWAY EMPYREAN CAPITAL PARTNERS, LP 10250 CONSTELLATION BLVD., STE 2950 LOS ANGELES CA 90067
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS, INC. STERLING HATHAWAY EMPYREAN CAPITAL PARTNERS, LP 10250 CONSTELLATION BLVD., STE 2950 LOS ANGELES CA 90067
MERRILL LYNCH INTERNATIONAL	TRANSFEROR: BANCA DI CREDITO COOPERATIVO DI ALBA, LANGHE E ROERO S.C. ATTN: JAMES RUSSELL 2 KING EDWARD STREET LONDON EC1A 1HQ UNITED KINGDOM
MERRILL LYNCH INTERNATIONAL	TRANSFEROR: CASSA LOMBARDA S.P.A. ATTN: JAMES RUSSELL 2 KING EDWARD STREET LONDON EC1A 1HQ UNITED KINGDOM
NOTENSTEIN PRIVATEBANK AG	TRANSFEROR: WEGELIN & CO BOHL 17 ST. GALLEN CH-9004 SWITZERLAND
NOTENSTEIN PRIVATEBANK AG	TRANSFEROR: WEGELIN & CO. BOHL 17 ST. GALLEN CH-9004 SWITZERLAND
SANTANDER PRIVATE BANKING SPA, AS AGENT	DIA PIPER SPAIN S.L. ATTN: MARIA SEGIMON, ESQ. PASEO DE LA CASTELLANA, 35-2 MADRID 28046 SPAIN
SANTANDER PRIVATE BANKING SPA, AS AGENT	ATTN: SERENA ROVERI VIA SENATO 28 20121 MILANO ITALY
SANTANDER PRIVATE BANKING SPA, AS AGENT	DIA PIPER LLP (US) ATTN: WILLIAM M. GOLDMAN, ESQ. 1251 AVENUE OF THE AMERICAS NEW YORK NY 10020
UBS AG, LONDON BRANCH	TRANSFEROR: CAPITAL PARTNERS SECURITIES CO., LTD ATTN: KAZUYA ICHIKAWA AND MARIE MIYAZAWA (TOKYO) 1 PINSBURY AVENUE LONDON EC2M 2PP UNITED KINGDOM
WEGELIN & CO	TRANSFEROR: CREDIT SUISSE PRIVATEBANKIERS BOHL 17 ST GALLEN CH-9004 SWITZERLAND
WEGELIN & CO.	TRANSFEROR: CLARIDEN LEU LTD PRIVATEBANKIERS BOHL 17 ST. GALLEN CH-9004 SWITZERLAND
WEGELIN & CO.	TRANSFEROR: CREDIT SUISSE PRIVATEBANKIERS BOHL 17 ST. GALLEN CH-9004 SWITZERLAND
YORVIK PARTNERS LLP	TRANSFEROR: B-PRIVATSTIFTUNG 11 IRONMONGER LANE LONDON EC2V 8EY UNITED KINGDOM

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